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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/722,263	11/25/2003	Stephen C. Lee	3171/1A	3171/1A 8633	
75	90 03/29/2		EXAM	INER	
Pharmacia Corporation			RILEY, JEZIA		
Global Patent D	epartment				
P. O. Box 1027			ART UNIT	PAPER NUMBER	
St. Louis, MI 63006			1637		
			DATE MAII ED: 03/29/200	£	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
	10/722,263	LEE ET AL.			
Office Action Summary	Examiner	Art Unit			
	Jezia Riley	1637			
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a rep If NO period for reply is specified above, the maximum statutory period.  - Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	.136(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) days I will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on					
2a)☐ This action is <b>FINAL</b> . 2b)⊠ Thi	is action is non-final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4) ⊠ Claim(s) <u>1-8 and 10-16</u> is/are pending in the a 4a) Of the above claim(s) is/are withdra 5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) <u>1-7 and 10-16</u> is/are rejected.  7) ⊠ Claim(s) <u>8</u> is/are objected to.  8) □ Claim(s) are subject to restriction and/	awn from consideration.				
Application Papers					
9) The specification is objected to by the Examin	er.				
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)					
1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summary Paper No(s)/Mail Da				
Notice of Draftsperson's Patent Drawing Review (PTO-948)     Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date		ate atent Application (PTO-152)			

Application/Control Number: 10/722,263 Page 2

Art Unit: 1637

#### **DETAILED ACTION**

#### Claim Objections

1. Claim 8 is objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot depend from any other multiple dependent claim.

See MPEP § 608.01(n). Accordingly, the claim 8 has not been further treated on the merits.

### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. § 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 5 and 10-16 are rejected under 35 U.S.C. § 112, 2<sup>nd</sup> paragraph.
  Claim 5 is vague and indefinite because it is unclear of what is PAMAM.
  Abbreviation should be spelled out in the claim for clarification.

Claim 10 is vague and indefinite because it is unclear of what is meant by "serterminated".

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Application/Control Number: 10/722,263 Page 3

Art Unit: 1637

5. Claims 1-7 are rejected under 35 U.S.C. § 102(b) as being anticipated by Tomalia et al. (5,527,524).

Tomalia et al disclose a Starburst polymer conjugate materials comprising dense star polymers associated with desired materials. These materials have significant advantages which can provide a means for the delivery of high concentrations of carried material per unit of polymer, controlled delivery, targeted delivery and/or multiple species delivery or use. These polymer conjugates of biological response modifiers are useful in a wide variety of therapeutic and diagnostic applications (gene therapy, analysis, antisense). The Starburst polymers are illustrated by FIG. 1 wherein the circled I represents an initiator core. These polymers include symmetrical and unsymmetrical branching dendrimers. The branching occurs at the hydrogen atoms of a terminal -NH group on a preceding generation branch. They can be prepared with methyl acrylate. In particular the polymer can be associated with at least one carried agricultural, pharmaceutical or other material to form a conjugate which is represented by Formula I, and "associated with" includes ionic bonding (col. 9-18). The carried molecules can be any antigen, hapten, organic moiety etc. which is viewed to be inclusive of the protein and cytokine of instant claims 6 an 7. (Col. 11). Linking target directors to dendrimers is another aspect of the present invention. In preferred embodiments, particularly where it is desired to use an antibody as a target director, a reactive functional group such as a carboxyl, sulfhydryl, reactive aldehyde, reactive olefinic derivative, isothiocyanato, isocyanato, amino, reactive aryl halide, or reactive alkyl halide can conveniently be employed on the dendrimer. The reactive

Application/Control Number: 10/722,263

Art Unit: 1637

functional groups can be introduced to the dendrimer using known techniques, for example:

Page 4

- (1) Use of a heterofunctional initiator (as a starting material for synthesizing the dendrimer) which has incorporated into it functional groups of different reactivity. In such heterofunctional initiator at least one of the functional groups will serve as an initiation site for dendrimer formation and at least one of the other functional groups will be available for linking to a target director but unable to initiate dendrimer synthesis. For example, use of protected aniline allows further modification of NH2 groups within the molecule, without reacting the aniline NH2. (Col. 24).
- 6. Claims 1, 2, 6, 7, 8 are rejected under 35 U.S.C. § 102(b) as being anticipated by Kinstler et al. (WO 96/11953).

Kinstler et al. discloses N-terminally chemically modified protein compositions and methods related to the attachment of water soluble polymers to proteins, including G-CSF. (Page 6-15).

#### **Double Patenting**

7. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double

Application/Control Number: 10/722,263

Art Unit: 1637

patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claim 10 is rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim1 of U.S. Patent No. 6,485,718.

Although the conflicting claims are not identical, they are not patentably distinct from each other because they are both claiming a method for site specifically attaching a synthetic particle to a protein comprising converting a protein to aldehyde, converting the amine of the particle to oxiamine and combining the aldehyde with the oxiamine.

- 8. No claim is allowed.
- 9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jezia Riley whose telephone number is 571-272-0786. The examiner can normally be reached on 9:30AM 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached on 571-272-0782. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/722,263

Art Unit: 1637

Page 6

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Monday, March 21, 2005

JEZIA RILEY PRIMARY EXAMINER